

FIG. 1

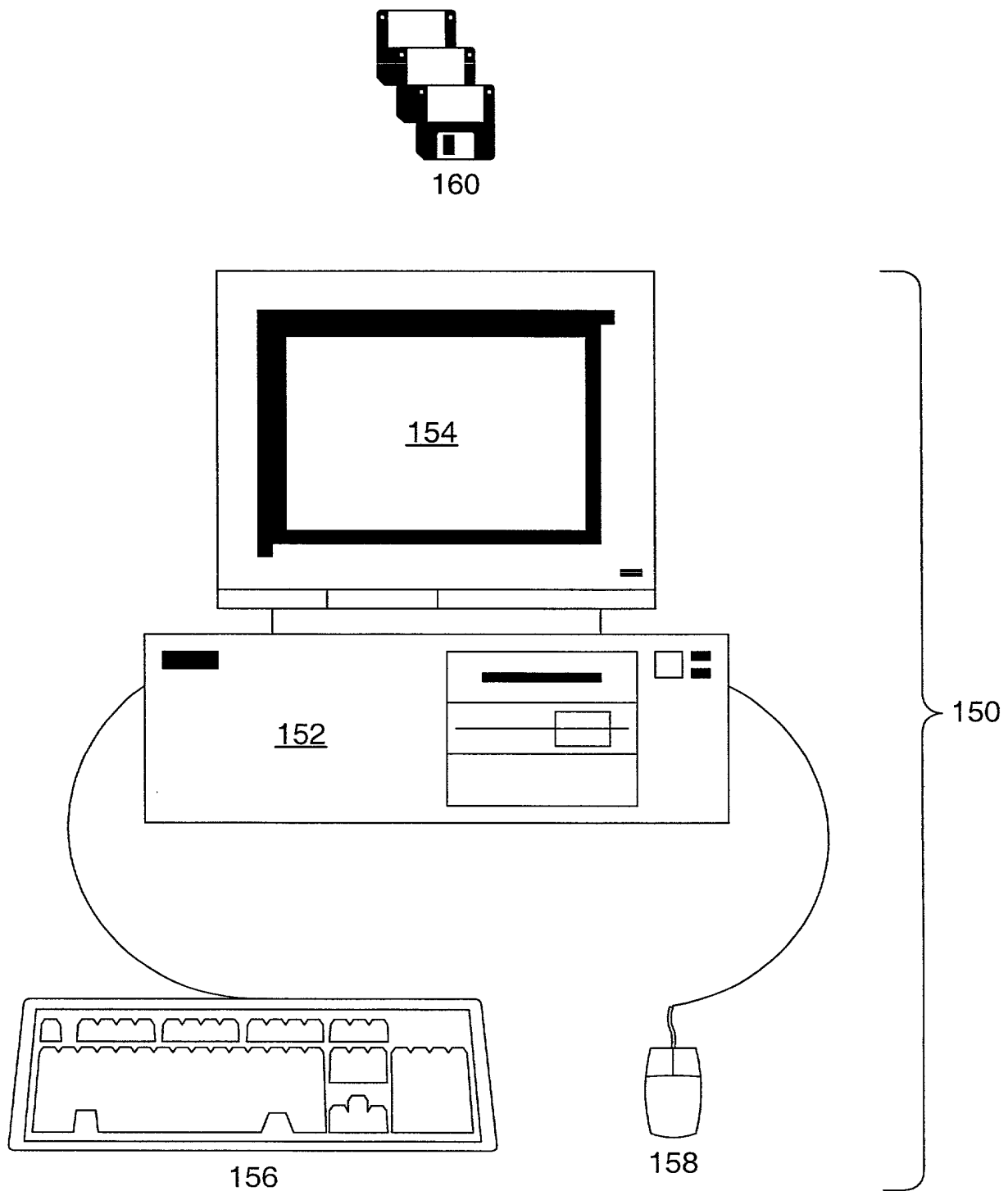


FIG. 2

300

311

Stock Symbol:

312

Long Stock Price:

313

Long Stock Quantity:

321

Option Symbol:

322

Expiration Month:

▼

323

Option Exchange:

▼

324

Number of Contracts:

325

Strike Price:

326

Long Put Buy Price:

331

Option Symbol:

332

Expiration Month:

▼

333

Option Exchange:

▼

334

Number of Contracts:

335

Strike Price:

336

Short Call Sell Price:

340

Account Number:

350

Conversion Commission:

360

Execution Time:

370

Submit

310

Long Stock Position

320

Long Put Position

330

Short Call Position

Forward Conversion Ticket

FIG. 3

311

Stock Symbol:

312

Long Stock Price:

313

Long Stock Quantity:

314

Conversion Commission:

315

Execution Time:

321

Option Symbol:

322

Expiration Month:

▼

323

Option Exchange:

▼

324

Number of Contracts:

325

Strike Price:

326

Long Put Buy Price:

327

Conversion Commission:

328

Execution Time:

331

Option Symbol:

332

Expiration Month:

▼

333

Option Exchange:

▼

334

Number of Contracts:

335

Strike Price:

336

Short Call Sell Price:

337

Conversion Commission:

338

Execution Time:

340

Account Number:

370

Submit

310

Long Stock Position

320

Long Put Position

330

Short Call Position

FIG. 3a

<u>411</u>	Stock Symbol:	QCOM	}	Long Stock Position
<u>412</u>	Long Stock Price:	25 1/8		
<u>413</u>	Long Stock Quantity:	1000		
<u>421</u>	Option Symbol:	QST	}	Long Put Position
<u>422</u>	Expiration Month:	OCT ▼		
<u>423</u>	Option Exchange:	8 Philly ▼		
<u>424</u>	Number of Contracts:	10		
<u>425</u>	Strike Price:	20		
<u>426</u>	Long Put Buy Price:	1 1/16		
<u>431</u>	Option Symbol:	QST	}	Short Call Position
<u>432</u>	Expiration Month:	OCT ▼		
<u>433</u>	Option Exchange:	8 Philly ▼		
<u>434</u>	Number of Contracts:	10		
<u>435</u>	Strike Price:	20		
<u>436</u>	Short Call Sell Price:	6 5/8		
<u>440</u>	Account Number:	1234		
<u>350</u>	Conversion Commission:			
<u>360</u>	Execution Time:			
<div style="border: 1px solid black; padding: 10px; text-align: center;"> <u>370</u>      Submit         </div>				

400      Forward Conversion Ticket

511

Stock Symbol:

MSFT

512

Long Stock Price:

59 7/8

513

Long Stock Quantity:

500

521

Option Symbol:

MSQ

522

Expiration Month:

JAN

▼

423

Option Exchange:

8 Philly

▼

524

Number of Contracts:

5

525

Strike Price:

65

526

Long Put Buy Price:

2 1/4

531

Option Symbol:

MSQ

532

Expiration Month:

JAN

▼

433

Option Exchange:

8 Philly

▼

534

Number of Contracts:

5

535

Strike Price:

65

536

Short Call Sell Price:

8 7/16

440

Account Number:

1234

350

Conversion Commission:

360

Execution Time:

370

Submit

510

Long Stock Position

520

Long Put Position

530

Short Call Position

500

Forward Conversion Ticket

FIG. 5



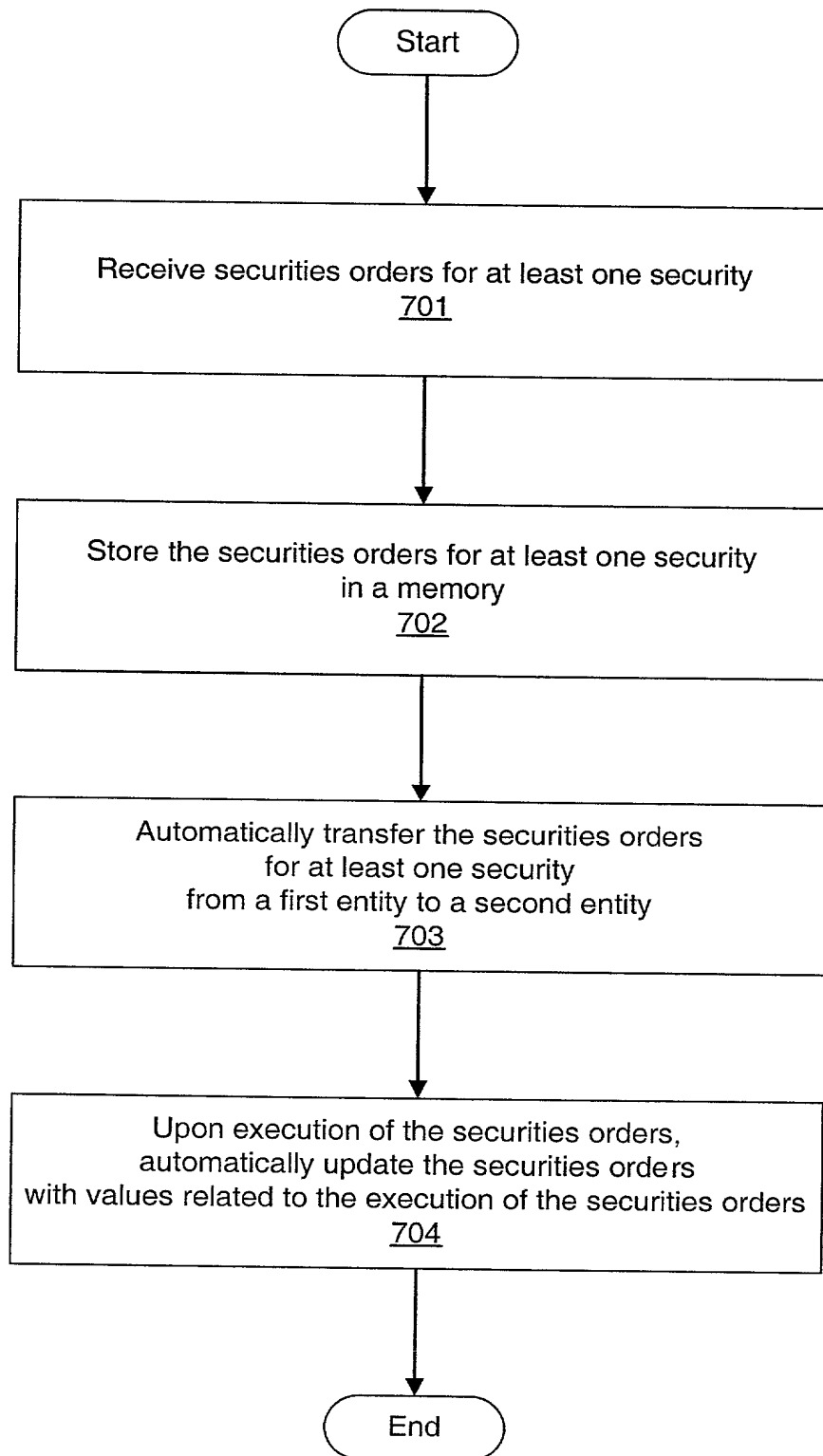


FIG. 7